CLIENT DATA SHARING POLICY

Blue Circle Financial Services is an AMFI Registered Distributor engaged in distribution services of Mutual Fund Schemes to its clients. The investment transactions of the Clients are done either through physical means i.e. through relevant application forms or online using Distributor Initiated Transaction platforms from the RTA, i.e. CAMS and Karvy.

As per the regulation under both physical and/or online modes Blue Circle Financial Services has to share the client data such as Name of Investor/s, PAN, Bank Account Details, Email, Mobile, Occupation, Annual Income, Place of Birth, Country/ies of Tax Residency with respective Tax Identification Nos, Nominee, etc to the RTAs / AMCs for processing of the investment transactions and to KRA agency/ies for registration/ validation of KYC of the clients.

As per Due Diligence requirements for Blue Circle Financial Services said data of the clients and scan of application/s have to be stored for the client/s in our office records.

Further Blue Circle Financial Services extend online portfolio view to clients through our web portal www.bcfs.in and provide various other reports on client portfolios through email and/or printout to clients for which data management services extended through use of software Investwell. In such a case certain client data may be accessed or has to be provided to the third party vendor M/s Excel Net Solutions Pvt. Ltd. Gurgaon. Blue Circle Financial Services has signed understanding with this vendor on maintaining "Confidentiality" for such client data.

Other than mentioned above third party/ies, Blue Circle Financial Services will be duty bound to share client data in case required by any Regulatory Body such as AMFI/ SEBI or any other Government Department such as Income Tax any other regulatory or Law enforcing institution or agency without requiring any consent from the client.

Blue Circle Financial Services and/ or its representatives will make best efforts and remain committed to safe guard and maintain "Confidentiality" and not share any client data with any unauthorized third person or entity other than mentioned above.

Blue Circle Financial Services will require from client written authorization either from registered email or signed written request addressed to Administrative Officer, in case, the client intends to share the data or any reports on portfolio with any other third party such as Chartered Accountants, Legal Professionals, Family Members, Relatives, Friends etc.